

A taste of flavor in string compactifications

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In collaboration with B. Carballo-Pérez & E. Peinado: [arXiv:1607.06812](https://arxiv.org/abs/1607.06812)

See also more recent progress in [arXiv:1708.01595](https://arxiv.org/abs/1708.01595)

Flavor Symmetries

The need for discrete flavor symmetries

- Need to explain {
 - three flavors of SM particles
 - observed mass patterns
 - CKM, PMNS phases
 - neutrino physics
 - suppression of proton decay
 - ...

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- Spontaneous *breakdown* of $G \rightarrow$ phenomenology (*predictions?*)

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- Spontaneous *breakdown* of $G \rightarrow$ phenomen $\Delta(54)$ is almost unexplored! ☹️

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Our purpose HERE:

Explore potential of $\Delta(54)$ and its origin 😊

Flavor symmetries: bottom-up

- **Problem:** Where does G come from? (just artificial?)

Absolutely original tv ad

IN PHENO TROUBLE?

*Better
call
Saul!*

Interest in
real world?

TRAFFIC
ACCIDENT

SLIP
&
FALL

susy

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Flavor symmetries: bottom–up meets top–down

- **Problem:** Where does G come from? (just artificial?)

Solution: $(4 + 6)D$ string theory!

additional discrete symmetries due to **compact dimensions**

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additional discrete symmetries due to **compact dimensions**

geometry $\rightarrow$ **symmetry**

Discrete non-Abelian flavor symmetries can arise
from the geometric structure of
string compactifications! 😊

Kobayashi, Nilles, Plöger, Raby, Ratz (2007); Nilles, Ratz, Vaudrevange (2012)

Strings



Compactifying string theories

- compactifications:

$$\mathcal{M}^{9,1} = \mathcal{M}^{3,1} \otimes X_6 \quad \text{vol}(X_6) \sim \ell_{Pl}^6, \quad \mathcal{N} = 1$$

- 1 X_6 : Calabi-Yau threefolds

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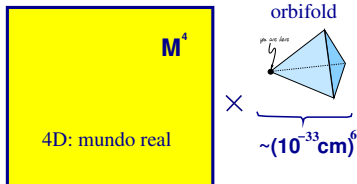
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- 3 Generalized CY, *half-flat*, $SU(3) \times SU(3)$ manifolds, ...

- 4 X_6 : orbifolds

Dixon, Harvey, Vafa, Witten (1985)



Framework: toroidal orbifolds

Orbifold:

$$\mathcal{O} = \frac{\text{compact manifold } \mathcal{M}}{\text{discrete group of isometries } I}$$

Toroidal Orbifold:

$$\mathcal{M} = T^n = \mathbb{R}^n / \Lambda$$

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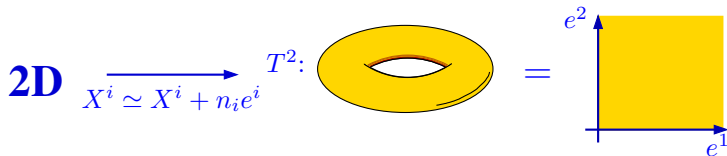
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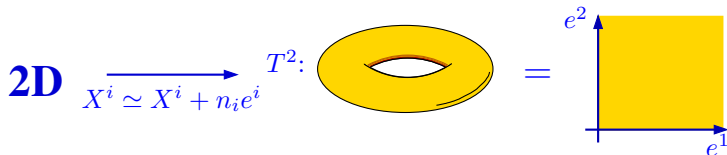
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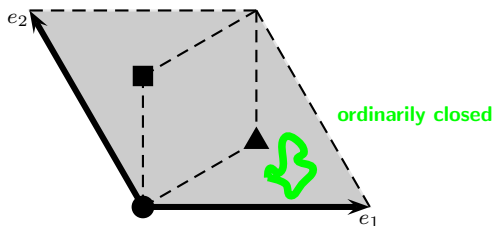


then, divide by $I = \begin{cases} \text{Abelian, e.g. } \mathbb{Z}_2, \mathbb{Z}_3, \mathbb{Z}_3 \times \mathbb{Z}_3 \\ \text{non-Abelian} \end{cases}$

Possible closed strings on the orbifold $T^2/\mathbb{Z}_3$

Three types of *closed strings*:

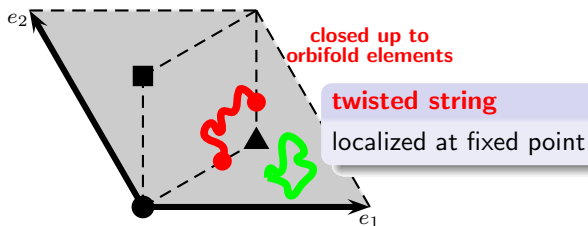
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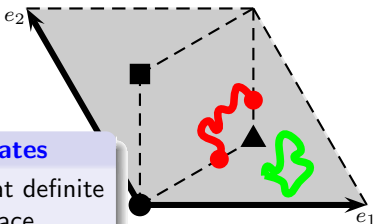
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localized twisted states

LEEF states appear at definite points in compact space



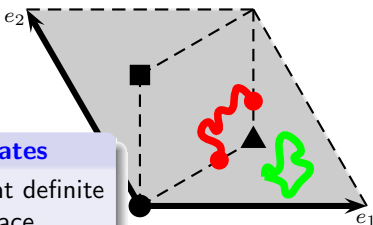
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Twisted states defined by *space group elements*

$g = (\theta, n_\alpha e_\alpha) \longleftrightarrow$ conditions for the twisted strings to close

e.g. $g = (\vartheta = e^{2\pi i/3}, e_1)$ or $g = (\vartheta^2 = e^{4\pi i/3}, e_1 + e_2)$

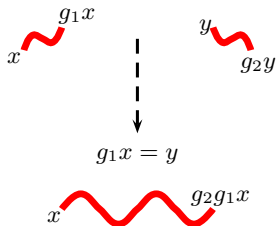
Selection rules: localization (*space group*)

- Interacting strings join for the time they interact:



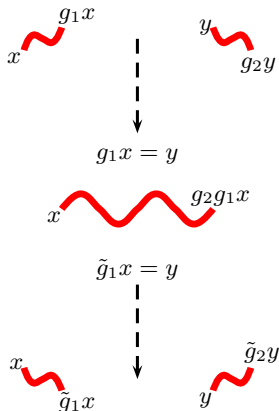
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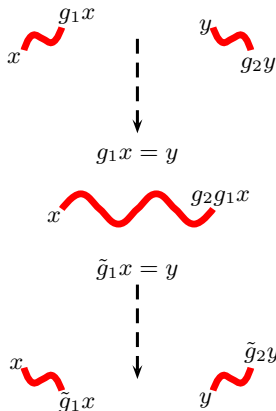
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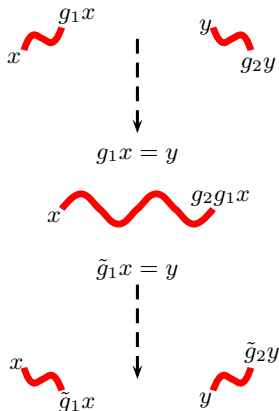
$$g_2 g_1 = \tilde{g}_2 \tilde{g}_1 = \mathbb{1}$$

for n interacting strings:

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space group selection rule:

$$\prod_{j=1}^n (\vartheta^{p^{(j)}}, n_{\alpha}^{(j)} e_{\alpha}) = (\mathbb{1}, \bigcup_j (\mathbb{1} - \vartheta^{p^{(j)}}) \Lambda)$$

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- LEEF interpretation:

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$\iff$ LEEF symmetry of the
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 p, n_α .

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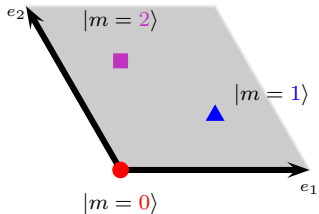
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- See how this works!

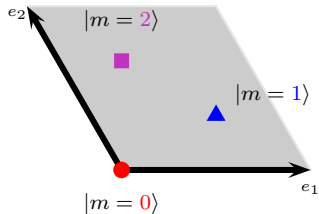
An example: flavor symmetry for $T^2/\mathbb{Z}_3$, $\vartheta = e^{2\pi i/3}$

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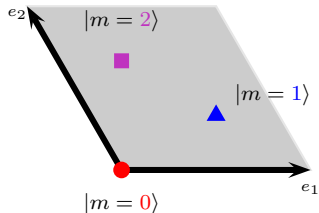
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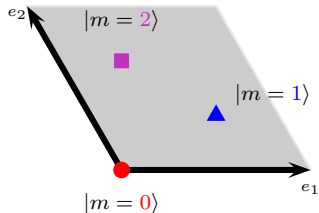
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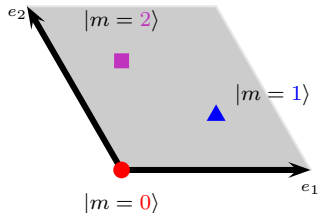
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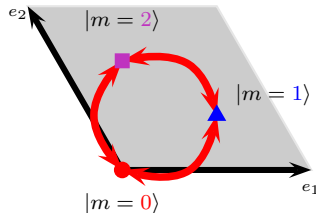
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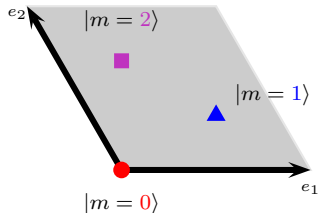
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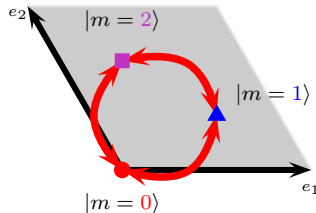
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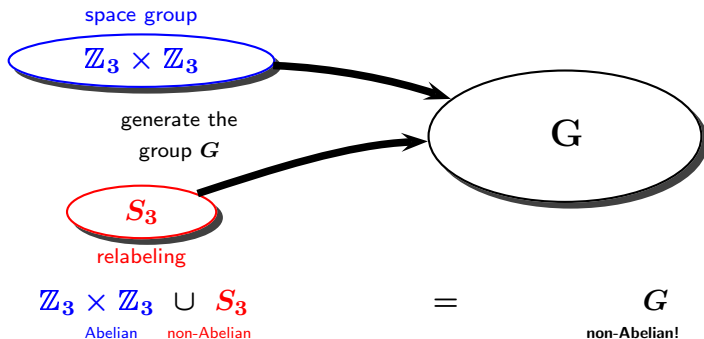
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relabeling symmetry:

$$S_3$$

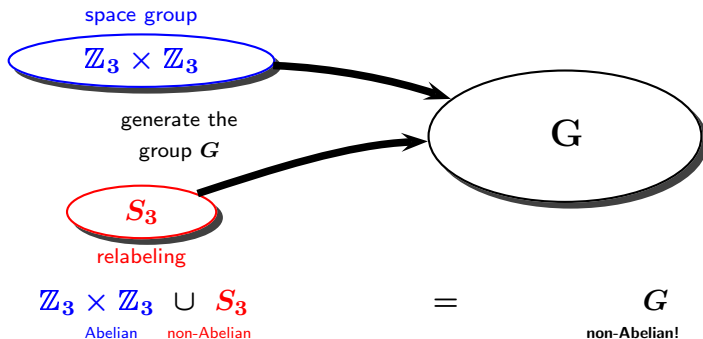
Full flavor symmetry group in $T^2/\mathbb{Z}_3$

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- There is a *normal subgroup* $N = \mathbb{Z}_3 \times \mathbb{Z}_3 \subset G$

$$G = S_3 \ltimes (\mathbb{Z}_3 \times \mathbb{Z}_3) = \Delta(54)$$

$\Delta(54)$ flavor symmetry in 6D orbifolds

- Construction kit:

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- **BUT** no promising models in $T^6/\mathbb{Z}_3$, $T^6/\mathbb{Z}_3 \times \mathbb{Z}_2$ with $\Delta(54)$

Lebedev,Ratz,SR-S,Vaudrevange (2008)

Investigate $\mathbb{Z}_3 \times \mathbb{Z}_3$ orbifolds!

Flavor symmetries in $T^6/\mathbb{Z}_3 \times \mathbb{Z}_3$

- If all fixed points are degenerate, flavor symmetry is large:

$$G = S_3 \times S_3 \times S_3 \ltimes \left[\mathbb{Z}_3^\vartheta \times \mathbb{Z}_3^\omega \times (\mathbb{Z}_3^m)^3 \right]$$

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- Models with 2 WLs lead to flavor

$$G = S_3 \ltimes \left[\mathbb{Z}_3^\vartheta \times \mathbb{Z}_3^\omega \times (\mathbb{Z}_3^m)^3 \right] \supset \Delta(54) \text{ ☺}$$

Procedure to study stringy models with $\Delta(54)$

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- 2 Select **semi-realistic models**
 - 4D gauge group = $SU(3)_c \times SU(2)_L \times U(1)_Y \times G_{hidden}$
 - 3 generations of quarks and leptons + a pair H_u, H_d
 - $\sin^2 \theta_w(M_{GUT}) = 3/8$
 - only SM-vectorlike extra matter

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Procedure to study stringy models with $\Delta(54)$

- 1 Determine **all** (inequivalent) $E_8 \times E_8$ gauge embeddings in heterotic string
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- 7 Study the low-energy **consequences for quarks and leptons**

→ **predictions?**

Orbifolder needed as a tool (Nilles, SR-S, Vaudrevange, Wingerter, 2011)



Favored flavor symmetries in promising heterotic orbifolds

$\mathbb{Z}_N$		flavor symmetry
$\mathbb{Z}_3$	(1, 1)	no viable model
$\mathbb{Z}_4$	(1, 1)	no viable model
	(2, 1)	$\mathbb{Z}_4$
	(3, 1)	$\mathbb{Z}_4$
$\mathbb{Z}_6$ -I	(1, 1)	$\mathbb{Z}_6$
	(2, 1)	$\mathbb{Z}_6$
$\mathbb{Z}_6$ -II	(1, 1)	D_4
	(2, 1)	D_4
	(3, 1)	D_4
	(4, 1)	D_4
$\mathbb{Z}_7$	(1, 1)	$\mathbb{Z}_7$
$\mathbb{Z}_8$ -I	(1, 1)	$\mathbb{Z}_8 [(D_4 \times \mathbb{Z}_8)/\mathbb{Z}_2]$
	(2, 1)	$\mathbb{Z}_8 [(D_4 \times \mathbb{Z}_8)/\mathbb{Z}_2]$
	(3, 1)	$\mathbb{Z}_8$
$\mathbb{Z}_8$ -II	(1, 1)	$D_4 [(D_4 \times \mathbb{Z}_8)/\mathbb{Z}_2]$
	(2, 1)	D_4
$\mathbb{Z}_{12}$ -I	(1, 1)	$\mathbb{Z}_{12}$
	(2, 1)	$\mathbb{Z}_{12}$
$\mathbb{Z}_{12}$ -II	(1, 1)	$\mathbb{Z}_{12} [D_4]$

$\mathbb{Z}_N \times \mathbb{Z}_M$		flavor symmetry
$\mathbb{Z}_2 \times \mathbb{Z}_2$	(1, 1)	$(D_4 \times D_4)/\mathbb{Z}_2 [D_4]$
$\mathbb{Z}_2 \times \mathbb{Z}_4$	(1, 1)	$D_4 \times (D_4 \times \mathbb{Z}_4)/\mathbb{Z}_2$
		$[(D_4 \times D_4)/\mathbb{Z}_2]$ $[D_4 \text{ or } (D_4 \times \mathbb{Z}_4)/\mathbb{Z}_2]$
$\mathbb{Z}_2 \times \mathbb{Z}_6$ -I	(1, 1)	$\mathbb{Z}_2 \times \mathbb{Z}_6 [D_4]$
$\mathbb{Z}_2 \times \mathbb{Z}_6$ -II	(1, 1)	no viable model
$\mathbb{Z}_3 \times \mathbb{Z}_3$	(1, 1)	$\Delta(54)$
		$[(\Delta(54) \times \Delta(54))/\mathbb{Z}_2]$
$\mathbb{Z}_3 \times \mathbb{Z}_6$	(1, 1)	$\mathbb{Z}_3 \times \mathbb{Z}_6 [\Delta(54)]$
$\mathbb{Z}_4 \times \mathbb{Z}_4$	(1, 1)	$((D_4 \times \mathbb{Z}_4)/\mathbb{Z}_2)^2/\mathbb{Z}_2$
		$[(D_4 \times \mathbb{Z}_4)/\mathbb{Z}_2]$
$\mathbb{Z}_6 \times \mathbb{Z}_6$	(1, 1)	$\mathbb{Z}_6 \times \mathbb{Z}_6$

[S.R-S arxiv:1708.01595]

Results of search of models

We found over 7×10^6 admissible gauge embeddings:

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* Numbers statistically compatible with Nilles, Vaudrevange (2014), but we find many more models 😊

Example

$\Delta(54)$ string spectrum

#	irrep	$\Delta(54)$	label	#	anti-irrep	$\Delta(54)$	label
3	$(\mathbf{3}, \mathbf{2})_{\frac{1}{6}}$	$\mathbf{3}_{11}$	Q_i				
3	$(\overline{\mathbf{3}}, \mathbf{1})_{-\frac{2}{3}}$	$\mathbf{3}_{11}$	$\bar{u}_i$				
3	$(\overline{\mathbf{3}}, \mathbf{1})_{\frac{1}{3}}$	$\mathbf{3}_{11}$	$\bar{d}_i$				
3	$(\mathbf{1}, \mathbf{2})_{-\frac{1}{2}}$	$\mathbf{3}_{11}$	L_i				
3	$(\mathbf{1}, \mathbf{1})_1$	$\mathbf{3}_{11}$	$\bar{e}_i$				
3	$(\mathbf{1}, \mathbf{1})_0$	$\mathbf{3}_{12}$	$\bar{\nu}_i$				
1	$(\mathbf{1}, \mathbf{2})_{-\frac{1}{2}}$	$\mathbf{1}_0$	H_d	1	$(\mathbf{1}, \mathbf{2})_{\frac{1}{2}}$	$\mathbf{1}_0$	H_u

Flavons

3	$(\mathbf{1}, \mathbf{1})_0$	$\mathbf{3}_{11}$	ϕ_i^u	
3	$(\mathbf{1}, \mathbf{1})_0$	$\mathbf{3}_{11}$	$\phi_i^{d,e}$	
3	$(\mathbf{1}, \mathbf{1})_0$	$\mathbf{3}_{12}$	ϕ_i^ν	
2	$(\mathbf{1}, \mathbf{1})_0$	$2 \cdot \mathbf{1}_0$	$s^{(d,e)}, s^u$	
128	$(\mathbf{1}, \mathbf{1})_0$	$77 \cdot \mathbf{1}_0 + 16 \cdot \mathbf{3}_{12} + \mathbf{3}_{11}$	N_i	

Exotic states

16	$(\mathbf{1}, \mathbf{2})_{\frac{1}{6}}$	$10 \cdot \mathbf{1}_0 + 2 \cdot \mathbf{3}_{12}$	v_i	16	$(\mathbf{1}, \mathbf{2})_{-\frac{1}{6}}$	$4 \cdot \mathbf{1}_0 + 4 \cdot \mathbf{3}_{12}$	$\bar{v}_i$
3	$(\mathbf{3}, \mathbf{1})_0$	$\mathbf{3}_{12}$	y_i	3	$(\overline{\mathbf{3}}, \mathbf{1})_0$	$3 \times \mathbf{1}_0$	$\bar{y}_i$
1	$(\overline{\mathbf{3}}, \mathbf{1})_{-\frac{1}{3}}$	$\mathbf{1}_0$	z_i	1	$(\mathbf{3}, \mathbf{1})_{\frac{1}{3}}$	$\mathbf{1}_0$	$\bar{z}_i$
7	$(\mathbf{1}, \mathbf{1})_{-\frac{2}{3}}$	$4 \cdot \mathbf{1}_0 + \mathbf{3}_{12}$	x_i	7	$(\mathbf{1}, \mathbf{1})_{\frac{2}{3}}$	$4 \cdot \mathbf{1}_0 + \mathbf{3}_{11}$	$\bar{x}_i$
51	$(\mathbf{1}, \mathbf{1})_{-\frac{1}{3}}$	$30 \cdot \mathbf{1}_0 + 7 \cdot \mathbf{3}_{12}$	w_i	51	$(\mathbf{1}, \mathbf{1})_{\frac{1}{3}}$	$24 \cdot \mathbf{1}_0 + 9 \cdot \mathbf{3}_{12}$	$\bar{w}_i$

$$\begin{aligned}
 W_Y = & y_{ijk}^u Q_i H_u \bar{u}_j \phi_k^u s_u + y_{ijk}^d Q_i H_d \bar{d}_j \phi_k^{(d,e)} s^{(d,e)} + y_{ijk}^e L_i H_d \bar{e}_j \phi_k^{(d,e)} s^{(d,e)} \\
 & + y_{ijkl}^\nu L_i H_u \bar{\nu}_j + \lambda_{ijk} \bar{\nu}_i \bar{\nu}_j \bar{\phi}_k^\nu, \quad i, j, k = 1, 2, 3,
 \end{aligned}$$

$\Delta(54)$ Phenomenology

$\Delta(54)$ stringy EXAMPLE

- From superpotential, obtain quark and charged-lepton Lagrangian

$$\begin{aligned}\mathcal{L}_Y^f &= y_1^f [F_1 H \bar{f}_1 \phi_1 + F_2 H \bar{f}_2 \phi_2 + F_3 H \bar{f}_3 \phi_3] \\ &+ y_2^f [(F_1 H \bar{f}_2 + F_2 H \bar{f}_1) \phi_3 + (F_3 H \bar{f}_1 + F_1 H \bar{f}_3) \phi_2 + (F_2 H \bar{f}_3 + F_3 H \bar{f}_2) \phi_1] + h.c.,\end{aligned}$$

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$$M_f^D \approx \begin{pmatrix} 0 & \sqrt{m_1^f m_2^f} & \frac{m_2^f - m_1^f}{m_3^f} \sqrt{m_1^f m_2^f} \\ \sqrt{m_1^f m_2^f} & m_2^f - m_1^f & 0 \\ \frac{m_2^f - m_1^f}{m_3^f} \sqrt{m_1^f m_2^f} & 0 & m_3^f \end{pmatrix},$$

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For **down-quarks**

$$\Rightarrow \tan \theta_C \approx \frac{(M_d^D)_{12}}{(M_d^D)_{22}} \approx \sqrt{\frac{m_d}{m_s}} \quad \text{Gatto-Sartori-Tonin} \quad \text{☺}$$

$\Delta(54)$ stringy phenomenology: down sector

Down-quark and charged-lepton sectors are symmetric

$$\Rightarrow \tan \theta_C^e \approx \frac{(M_e^D)_{12}}{(M_e^D)_{22}} \approx \sqrt{\frac{m_e}{m_\mu}} \quad \text{leptonic Gatto-Sartori-Tonin} \quad \text{😊}$$

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Additional novel consequence

$$\frac{m_s - m_d}{m_b} \stackrel{!}{=} \frac{m_\mu - m_e}{m_\tau}.$$

more stringent than $b - \tau$ unification 😞

$\Delta(54)$ stringy phenomenology: neutrino sector

From the superpotential W_Y , renormalizable neutrino Lagrangian is

$$\begin{aligned}\mathcal{L}_Y^\nu &= y_1^\nu [L_1 H_u \bar{\nu}_1 + L_2 H_u \bar{\nu}_2 + L_3 H_u \bar{\nu}_3] \\ &+ \lambda_1 [\bar{\nu}_1 \bar{\nu}_1 \bar{\phi}_1^\nu + \bar{\nu}_2 \bar{\nu}_2 \bar{\phi}_2^\nu + \bar{\nu}_3 \bar{\nu}_3 \bar{\phi}_3^\nu] \\ &+ \lambda_2 [2\bar{\nu}_1 \bar{\nu}_2 \bar{\phi}_3^\nu + 2\bar{\nu}_1 \bar{\nu}_3 \bar{\phi}_2^\nu + 2\bar{\nu}_2 \bar{\nu}_3 \bar{\phi}_1^\nu]\end{aligned}$$

$\Rightarrow$ type I see-saw possible!! 😊

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$$M_{RH} = \begin{pmatrix} \lambda_1 \bar{\phi}_1^\nu & \lambda_2 \bar{\phi}_3^\nu & \lambda_2 \bar{\phi}_2^\nu \\ \lambda_2 \bar{\phi}_3^\nu & \lambda_1 \bar{\phi}_2^\nu & \lambda_2 \bar{\phi}_1^\nu \\ \lambda_2 \bar{\phi}_2^\nu & \lambda_2 \bar{\phi}_1^\nu & \lambda_1 \bar{\phi}_3^\nu \end{pmatrix}$$

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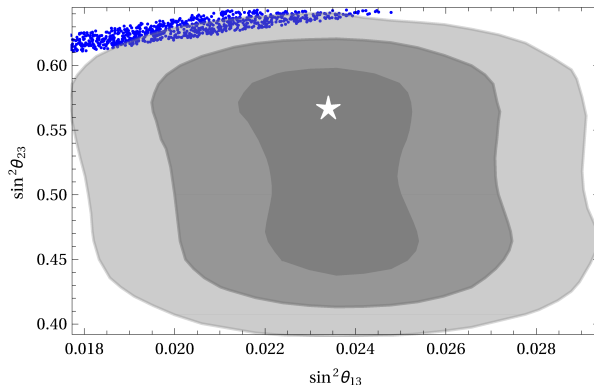
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run over all parameter values looking for neutrino phenomenology compatible with data

$\Delta(54)$ stringy phenomenology: neutrino sector

Correlation atmospheric–reactor mixing angles compatible with **best fit**

Forero, Tortola, Valle (2014)

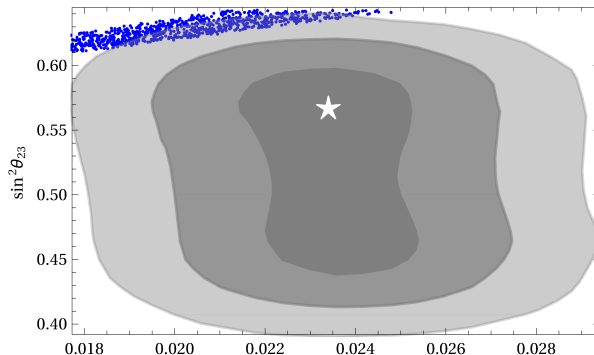


- atmospheric mixing angle: $51.3^\circ \lesssim \theta_{23} \lesssim 53.1^\circ$ (second octant) ☺
- reactor mixing angle: $7.8^\circ \lesssim \theta_{13} \lesssim 8.9^\circ$ ☺
- $6\text{meV} \lesssim m_{\nu_1} \lesssim 6.8\text{meV}$, $65\text{meV} \lesssim \sum m_\nu \lesssim 70\text{meV}$ ☺

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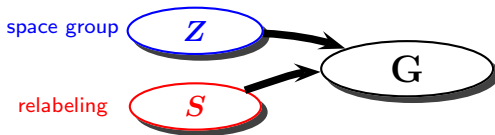


Advantages of model:

- atmospheric θ_{23} in second octant) ☺
- reactor mixing only **normal hierarchy** admissible
- $6\text{meV} \lesssim m_{\nu_1}$ **Falsifiable** soon ☺

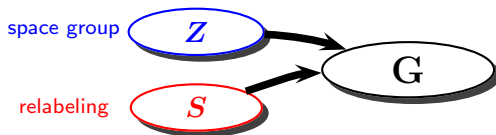
Conclusions

- $\Delta(54)$ and other flavor symmetries from compactification geometry:



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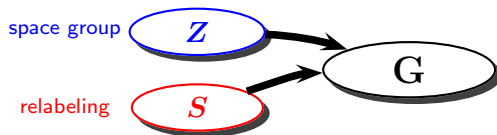
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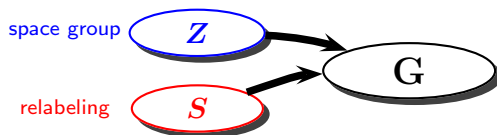
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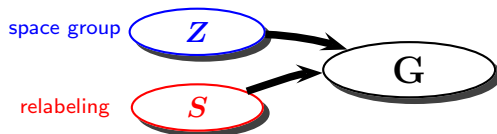
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- Favored flavor groups in promising models: D_4 (50%), $\Delta(54)$ (5%)
- Classification of $\mathbb{Z}_3 \times \mathbb{Z}_3$ heterotic orbifolds with ~ 800 nice models
- 90% of them exhibit $\Delta(54)$ flavor symmetry

Conclusions

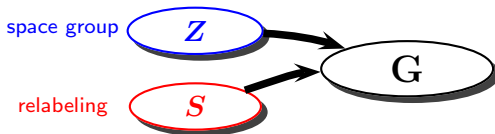
- $\Delta(54)$ and other flavor symmetries from compactification geometry:



- Favored flavor groups in promising models: D_4 (50%), $\Delta(54)$ (5%)
- Classification of $\mathbb{Z}_3 \times \mathbb{Z}_3$ heterotic orbifolds with ~ 800 nice models
- 90% of them exhibit $\Delta(54)$ flavor symmetry
- Strong stringy constraints on pheno

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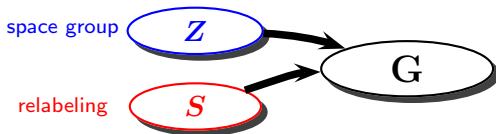
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- Leading to
 - 1 $6\text{meV} \lesssim m_{\nu_1} \lesssim 6.8\text{meV}$, $65\text{meV} \lesssim \sum m_\nu \lesssim 70\text{meV}$ 😊
 - 2 correct masses for quarks and leptons
 - 3 Gatto-Sartori-Tonin in down-sector
 - 4 funny unification mass relation ☹️
 - 5 normal hierarchy for neutrino masses
 - 6 “predict” neutrino mixing angles 😊

Conclusions

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BUT

- bad quark mixing angles
- proton decay
- unjustified vacuum alignments
- SUSY breaking not understood

And, of course,...

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